



FORM PTO-1449 (Subpart 740)

SEP 17 2001

Sheet 1 of 5

LIST OF PRIOR ART CITED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO. 09/897 215
APPLICANT <i>PAUL H. HARTMAN</i>	RECEIVED
FILING DATE 7/02/2001	GROUP SEP 21 36 35

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SEARCHED	INDEXED	FILING DATE IF APPROPRIATE
	AA 3,760,548	9/25/73	SAUER	52	593		
	AB 4,738,072	4/19/88	CLEMENSON	52	742		
	AC 4,875,320	10/24/89	SPARKES	52	906		
	AD 5,724,780	3/10/98	BOLICH	52	907		
	AE 5,965,231	10/12/99	ROTERMUND	428	69		
	AF						
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FOREIGN PATENT DOCUMENTS

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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

*** EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Bairn Mr Form 10-6 8/21/05

Form 10-S



Sheet 2 of 5

FORM PTO-1449 (Substitution)			ATTY. DOCKET NO.	SERIAL NO.		
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)			APPLICANT	RECEIVED		
			7/02/2001	GROUP		
			U.S. PATENT DOCUMENTS	SEP 21 2001		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	3,788,013	1/24/74	VEEN	20	3600 MAIL ROOM	
AB	4,104,834	8/08/78	ANGHINETTI	52	1	
AC	5,027,741	7/02/91	SMITH	116	205	
AD	5,165,659	11/24/92	L'HEUREUX	284	37	
AE	5,483,956	1/16/96	SHAPIRO	118	206	
AF	5,927,990	7/27/99	WELCH	459	226	
AG	5,960,596	10/05/99	LYONS	52	200	
AH	6,114,948	9/05/00	ASTELL	340	333	
AI	6,003,609	12/21/99	WALLS	169	57	
AJ	6,161,348	10/19/00	MORRIS	52	232	
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Brian Ste 4/25/05
 Form 10-6

SHEET 3 OF 5

FORM PTO-1640 (Substitute)			ATTY. DOCKET NO.	SERIAL NO. <u>09/897 215</u>		
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)			APPLICANT <u>PAUL A. HARTMAN</u>			
			FILING DATE <u>7/08/2002</u>	GROUP <u>3635</u>		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<u>SLA</u>	4,244,355	1/13/81	STOUT	52	200	
	4,327,707	5/4/82	WILHELM	52	200	
	5,062,247	11/05/91	DITTMER	52	200	
	5,134,827	8/04/92	HARTMAN	52	200	
	5,204,777	4/20/93	CURSHOD	52	200	
	5,323,576	6/28/94	GUMPERT	52	200	
<u>SLA</u>	5,617,682	4/08/97	CHRISTOPHER	52	200	
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EXAMINER				DATE CONSIDERED		
<u>Paul A. Hartman</u>				<u>4/21/05</u>		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 603. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

FORM PTO-1440 (Substitute)				ATTY. DOCKET NO.	SERIAL NO. <u>09/897 215</u>		
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT <u>PAUL H. HARTMAN</u>			
				FILING DATE <u>7/02/2001</u>	GROUP <u>3635</u>		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<u>BG</u> .	AA	1,939,598	12/12/33	RAGSDALE	<u>181</u>	<u>1375</u>	
	AB	2,863,185	12/09/58	RIED I	<u>20</u>	<u>32</u>	
	AC	4,567,710	2/04/86	REED	<u>52</u>	<u>786-13</u>	
	AD	4,989,782	2/05/91	McKIE	<u>238</u>	<u>108</u>	
	AE	5,062,250	11/05/91	BUZZELLA	<u>52</u>	<u>584</u>	
	AF	5,125,207	6/30/92	STROBL	<u>52</u>	<u>747-1</u>	
<u>✓</u>	AG	5,343,665	9/06/94	PALMERSTON	<u>52</u>	<u>588</u>	
	AH	5,737,893	4/14/98	ROSSITER	<u>52</u>	<u>745-2</u>	
<u>BG</u> .	AI	5,875,599	3/02/99	McGRATH	<u>52</u>	<u>588-2</u>	
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER <u>Brian J. He</u>				DATE CONSIDERED <u>4/21/05</u>			
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FORM PTO-1440 (Substitute)		ATTY. DOCKET NO.	SERIAL NO.			
		APPLICANT PAUL H. HARTMAN	09/897 215			
LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		FILING DATE 7/02/2001	GROUP 3635			
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LS6 AA	3,966,342	10/25/74	MORNA	403	515	
AB	4,249,830	2/10/81	DAY	403	515	
AC	4,485,597	12/4/84	WORRALL O	515	515	
AD	4,549,832	10/29/85	STERL	403	515	
AE	4,926,611	5/22/90	FUNAKI	515	505	
LS6 AF	5,974,760	2/2/99	TINGLEY	515	505	
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FOREIGN PATENT DOCUMENTS						
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AO						
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<p>TECHNICAL DATA CHARACTERIZING SPACE CONDITIONING in COMMERCIAL BUILDINGS, SEGRAL, LAWRENCE BERKELEY LABORATORIES, http://lbl.gov/Info/37065-6030PL.html</p> <p>Reference Table 5-1, Baseline Characteristics in Commercial Buildings (U.S. Average)</p>						
EXAMINER	DATE CONSIDERED					
<i>Paul H. Hartman</i>	4/21/05					
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